



Docket No.: 062807-0159

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 20277
Katsuyuki UMEZAWA, et al.	:	Confirmation Number: 4096
Application No.: 10/766,869	:	Group Art Unit: 2135
Filed: January 30, 2004	:	Allowed: November 28, 2007
	:	Examiner: Y. Paliwal
For: CERTIFICATE MANAGEMENT SYSTEM AND METHOD	:	

REQUEST FOR COMPLETE ACKNOWLEDGEMENT OF THE CITED ART

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

We are in receipt of the Notice of Allowance dated November 28, 2007.

In reviewing the file, it is noted that we have not received **complete** acknowledgement of the Information Disclosure Statement and cited art filed January 30, 2004. Specifically:

1. **JP2002-298088 dated 10/11/2002** listed under **Foreign Patent Documents** is not initialed by the Examiner; and,
2. **"Global Platform Card Specification Version 2.1"** listed under **"Other Art"** is not initialed by the Examiner.

No.: 10/766,869

Enclosed are copies of the Information Disclosure Statement, PTO-1449 and stamped return postcard acknowledgement. Also enclosed please find a copy of the partially initialed PTO-1449 received with the Office Action dated June 19, 2007.

Applicant hereby respectfully requests that:

- (1) the **completely** acknowledged PTO-1449 be furnished to Applicant; and
- (2) the record be clarified to confirm that the cited art has been considered and made of record.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP



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Date: December 12, 2007

**Please recognize our Customer No. 20277
as our correspondence address.**



Applicant: Katsuyuki UMEZAWA, et al. Docket No. 62807-159

Title: CERTIFICATE MANAGEMENT SYSTEM AND METHOD Serial/Reg./Patent No. Not yet assigned

Date Sent: 1/30/2004 ☒ Hand Carried ☐ Fax ☐ Electronic ☐ Cert. of Mailing ☐ Express Mail No. _____

☒ Transmittal Letter

New Patent App ☒ Utility ☐ Design ☐ Cont. ☐ CIP ☐ Div. ☐ PCT ☐ RCE ☐ Prov

☐ Other: _____

23 pages of Specification

5 pages of Claims

1 pages of Abstract

6 pages of Formal Drawings

☐ Small Entity ☒ Large Entity

☒ Declaration/Power of Attorney

☒ Recordation of Assignment

☒ Information Disclosure Statement

1 Form PTO 1449

3 copies of cited references

☐ Preliminary Amendment

☐ Response to Missing Parts Notice

☐ Resp. to Notice to Correct App. Papers

☒ Certified Copy of Priority Doc.

☒ Claim for Convention Priority

☐ Response/Amendment to Office Action of _____

☐ Request for _____ month Extension of Time

☐ Letter submitting _____ pages of drawings

☐ Req. for Approval of Drawing Amendments

☐ Req. for Oral Hearing

☐ Not. of Appeal ☐ Appeal Brief ☐ Reply Brief

☐ Rule 312 Amendment/Letter

☐ Req. for Acknowledgement of Cited Art

☐ Issue Fee

☐ Publication Fee

☐ Req. for Certificate of Correction

☐ Maintenance Fee for _____ years after grant

☐ Fee Address Indication Form _____

☐ Terminal Disclaimer

☐ Petition to Commissioner

☐ Status Inquiry

☒ Other Associate Power of Attorney



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CMS Descrip.: 1-770.00 4-40.00 Early Serial Number

THE PATENT AND TRADEMARK OFFICE DATE STAMPED HEREON IS ACKNOWLEDGEMENT THAT THE ITEMS, CHECKED ABOVE, WERE RECEIVED BY THE PTO ON THE DATE STAMPED.

Docket No.: 62807-159

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 20277
Katsuyuki UMEZAWA, et al.	:	Confirmation Number:
Serial No.:	:	Group Art Unit:
Filed: January 30, 2004	:	Examiner:
For: CERTIFICATE MANAGEMENT SYSTEM AND METHOD	:	

INFORMATION DISCLOSURE STATEMENT

Mail Stop IDS
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.


The relevance of each of the references listed on the attached Form 1449, are discussed in the present specification.

Serial No.:

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

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